

## METHOD AND SYSTEM FOR SECURE DISTRIBUTION OF DIGITAL DOCUMENTS

### Related Application

[0001] The present application is a continuation of International Application No. PCT/FR02/03118 filed on September 12, 2002, the entire disclosure of which is incorporated herein by reference.

### Field of the Invention

[0002] The present invention relates to the secure distribution of digital documents. In particular, although not exclusively, it applies to the distribution of musical works in digitized form, whether on a recorded media such as CDROMs or through a public data transmission network such as the Internet. The present invention is directed to fighting against the illegal circulation over the Internet of protected works, such as musical, literary or movie works, and also software.

### Background of the Invention

[0003] Since the introduction of the Internet and digital audio data coding and compression standards, such as the MPEG or MP3 standards, the circulation of illegal copies of musical works has substantially increased. Recently, file exchange services have been set up which are accessible through the Internet. These services allow software to be downloaded so that any user of the Internet possessing a computer can access file lists to download them, and in exchange, to offer free access to certain files stored on the user's computer hard disk. The references and access path are

inserted for this purpose in one of these lists. These services seem to have enjoyed great success among Internet users, who may thus set up, at a reduced price, a personal library of musical or video works, and also software. These illegal copies of works have therefore increased to such a point that they amount to several million dollars per year or more.

[0004] For controlling the distribution of digital documents, it has already been proposed to use techniques by which a customer may examine a catalog of documents accessible through a public digital data transmission network, and select documents to purchase. Software installed on the client terminal sends in encrypted form, identification information of the customer's credit card to a distributor organization which, in turn, transmits the selected documents to the customer, also in encrypted form. The software installed on the customer's terminal then uses a secret key to decrypt the received documents so that they can be used.

[0005] However, even if the document is protected through encryption during its transmission to the customer, subsequent unauthorized distribution of the latter cannot be prevented once it has been decrypted by the customer. To address this problem, there has also been proposed a technique according to which a password is sold to the customer for decrypting a document loaded onto a document playback apparatus. This password can only be used on the customer's machine or only by the customer as a complement of specific biometric information relating to the customer. Even if the encrypted document may be distributed to other persons, the customer's password and the biometric information do not allow the document to be decrypted.

[0006] A distribution system that distributes documents in encrypted form, wherein the users may

purchase copy licenses for those documents which are stored in a personal chip card made available to each user, has also been contemplated. This approach is described, for example, in U.S. Patent No. 5,754,548 and U.S. Patent No. 6,035,239.

[0007] Since the documents are encrypted, they may freely circulate, in particular, over the Internet. For copying such a document, such as copying the musical work it contains, for example, the user must possess an appropriate reader capable of reading the encrypted contents of the document, and reading information pertaining to the license which is stored in the chip card. This information is used for decrypting the document contents. Such a reader is described in WO 98/42098 and U.S. Patent No. 5,754,648, for example.

[0008] For this purpose, a number of encryption techniques are used for authenticating the reader and the chip card and for decrypting the document. To make a "pirate" reader, it is therefore required that such a reader may authenticate itself as an authorized reader. For this purpose, a private key is needed which corresponds to a certified public reader key. Each reader possibly has a unique private key. The main loophole in this system appears when someone manages to obtain the private key of an authorized reader to make a pirate reader. In this case, it is possible by purchasing licenses to decrypt protected documents and circulate the decrypted documents. It is also possible to create software that may be circulated over the Internet enabling anyone having a computer and a chip card reader to extract the licenses stored on a chip card, decrypt the corresponding encrypted documents that are freely circulated, and freely circulate the decrypted documents.

[0009] On the other hand, a number of techniques are available for inserting information into chips to make them less likely to be accessible. However, these

techniques are not entirely secure and may not take into account future technologies that pirates might be using. In addition, these techniques are not easily applicable to readers. Readers are systems substantially more sophisticated than chip cards since they comprise a processor having more inputs and outputs, and which are not dedicated to security, contrary to the chips in chip cards.

[0010] As opposed to chip card applications, such as in the fields of banking and mobile telephony, the above described secure document circulation technique uses chip cards in readers that are entirely disconnected from a possible centralized system. Fraud thus cannot be as easily detected as in applications using a centralized system that may deactivate a chip card previously detected to be fraudulent. Even if recovering the private key of such a document reader amounts to several months of work, this operation may be carried out in an exclusively private way. Once the private key of a reader has been obtained, the security offered by the system can no longer be ensured.

#### Summary of the Invention

[0011] An object of the present invention is to overcome the above-mentioned problem. This object is achieved by providing a method for securely circulating digital documents for playback using an adapted reader, with these documents being accessible in an encrypted form.

[0012] The method comprises providing each user wishing to play back a digital document with means for securely storing identification information and containing information identifying the storage means. The method may also include identifying, from a server connected to a digital data transmission network, the means for storing the identification information that is connected to the network.

[0013] The method further includes transmitting to the server information identifying a document to be played back from a terminal connected to the storage means, and in response, transmitting from the server to the terminal a decryption key specific of the document to be played back which is stored in the storage means. The document to be played back is decrypted using an adapted reader connected to the storage means, using the stored decryption key for reproducing the decrypted document by the reader.

[0014] The method is characterized by further comprising inserting into the storage means information for identifying document readers, and determining a fraudulent use of the storage means according to the document reader identification information stored in the storage means.

[0015] Advantageously, the method according to the present invention further comprises determining, through processor means associated with the storage means, whether or not the reader used for document playback is authorized. The transmission of the decryption key from the storage means to the reader is carried out only if the reader is authorized.

[0016] Preferably, if a fraudulent use of the storage means is determined, transmitting the decryption key specific to the document to be played back from the server to the storage is not authorized, and the storage means is considered to have been used with an unauthorized reader and is deactivated by the server. This is done to prohibit any further use of the storage means for restoring a document playback by a reader.

[0017] According to a first preferred modification of this invention, the document reader identification information, stored in the storage means, comprises a list of document reader identification information identifying unauthorized readers. This list is

transmitted from the server to the storage means upon connection of the storage means to the server. A fraudulent use of the storage means is determined if the reader identification information is found in the unauthorized reader list.

[0018] According to a second preferred modification of this invention, the document reader identification information stored in the storage means comprises a document reader identification information list identifying the last document readers used with the storage means. The list is transmitted from the storage means to the server upon connection of the storage means to the server along with the storage means identification information. The server compares the reader identification information contained in the received list with reader identification information contained in an unauthorized reader list for determining a fraudulent use of the storage means.

[0019] Advantageously, the server builds from the received lists of used reader identification information associated with storage means identification information, a table that contains for each identified reader a number of different storage means used in combination with the reader. The server determines that a reader is unauthorized if this number for the reader exceeds a predefined threshold, and inserts the identification information of a reader determined to be unauthorized in an unauthorized reader list.

[0020] According to a feature of the present invention, if a fraudulent use of the storage means has been determined, the requested document decryption key is not transmitted from the server to the storage means. According to another feature of the present invention, if a fraudulent use of the storage means has been determined, the server deactivates the storage means to prohibit any further use of the storage means

for document playback by a reader.

[0021] The present invention also relates to a system for securely circulating digital documents so that they can be played back with an adapted reader, these documents are accessible in encrypted form. The system comprises storage means provided to each user of the system, including a secure memory area wherein information identifying the storage means are stored. A server is connected to a digital data transmission network.

[0022] At least one terminal is connected to the network and is provided with means for connecting the storage means, and comprises transmission means for transmitting to the server information identifying the storage means to which it is connected along with information identifying a document to be played back. The terminal also comprises means for receiving from the server a specific decryption key allowing the document to be decrypted, and for inserting the key into the storage means to which it is connected.

[0023] A document reader comprises means for connection to one of the storage means, means for receiving from the storage means a key for decrypting the document to be played back, and means for storing the document to be played back in encrypted form. The document reader also comprises means for decrypting a document by the decryption key received from the storage means, and means for playing back the decrypted document.

[0024] The system is characterized in that each storage means further comprises a memory area wherein information for identifying a reader list is stored, and in that the system comprises means for determining a fraudulent use of the storage means according to the contents of the list.

[0025] According to the first preferred modification of the present invention, the list stored in each

storage means comprises information for identifying unauthorized readers. The server comprises transmission means for transmitting the list to the storage means by the terminal.

[0026] According to the second preferred modification of the present invention, the list stored in each storage means comprises information for identifying the last readers used with the storage means. The terminal comprises means for transmitting the list from the storage means to the server. Preferably, each storage means is a chip card.

#### **Brief Description of the Drawings**

[0027] These objects, features and advantages of the present invention will become more apparent from the following detailed description of a non-restrictive example of an embodiment thereof, illustrated in the accompanying drawings in which:

[0028] FIG. 1 schematically shows a secure distribution system according to the present invention; and

[0029] FIG. 2 schematically shows a reader used in the system shown in FIG. 1.

#### **Detailed Description of the Preferred Embodiments**

[0030] In FIG. 1, the system according to the present invention comprises a digital document license server 2, for example, that is capable of accessing a library 3 of digital documents to be distributed. These digital documents may contain musical, audiovisual or literary works.

[0031] The license server 2 is connected to one or more public digital information transmission networks 1 such as the Internet, cable networks or mobile or land telephone networks, or also terrestrial or satellite radio broadcasting systems. The documents to be distributed may also be recorded on media 7 such as



CDROMs or DVDs or, also memory cards having a relatively large capacity such as flash memories.

[0032] Users wishing to access such documents should have a personal chip card 6, also known as a smart or microprocessor card. The card 6 includes one or more memories, of which at least one portion is made secure, that is, inaccessible for storing confidential information. Users should also have a reader 4 adapted to play back such documents. The reader 4 and is equipped with a chip card reader 16. Of course, it may be envisioned to combine, within the same device, the chip card and the memory card for storing one or more encrypted documents.

[0033] The documents recorded in the document library 3 or on the media 7 are encrypted by a symmetrical encryption algorithm using a secret key. These documents are freely circulated, whether from the license server 2 or through other organizations. To play back such documents, a reader 4 such as the one shown in FIG. 2 comprises a processor 11, such as a microprocessor or microcontroller, for example, which is connected via a bus 14 to data and program memories 12, as well as to decoding means 13. The decoding means 13 may be a digital/analog converter for sending the document contents once it has been decrypted to appropriate playback means. Playback means includes a video screen and/or loud-speakers, for example, if the document is an audiovisual or sound document.

[0034] When receiving documents to be played back, the reader 4 advantageously comprises communication means 15, such as a modem, for example, that is designed for connection to the network 1. The reader 4 may also or alternatively include means 17 for reading recording media 7, such as CDROMs and/or DVDs. If the recording medium is a memory card, reader 4 additionally or alternatively comprises connection means for connecting the memory card to the bus 14,

which may be plugged into the housing of the reader 4, for example.

[0035] The memories 12 of the reader 4 store a pair of private and public keys and possibly a reader identification code, and include a memory area 21 which is made secure. That is, the memory area 21 is protected to make it very difficult to access. The memory area 21 stores, in particular, the private decoding key used for the secret decryption key of the documents to be played back, which has previously been encrypted through an asymmetric process.

[0036] The reader 4 also comprises a card reader 16 for the chip card 6 which stores a public key and, in a secure manner, the corresponding private key 18, and possibly, an identification code which may be identical to the card's public key. The chip card 6 also stores a list 19 of secret keys for decrypting documents that have previously been encrypted by the chip card public key. Each of the secret keys is associated with a document identifier for which the card user has purchased reproduction or playback licenses.

[0037] In order to fill this secret key list 19, the user must access a license server 2 by a terminal 9 (such as a computer) connected to the Internet 1 and a chip card reader 10, into which the card 6 is inserted, and document playback licenses are purchased. During this purchase, the chip card 6, via terminal 9, transmits its public key and, in response, the license server 2 transmits the secret keys for decrypting the corresponding documents previously encrypted by the public key transmitted from the card. The secret encrypted keys are loaded by the computer 9 and the chip card reader 4 into the chip card 6 inserted into the latter. The transmitted encrypted secret keys may be accompanied with the corresponding encrypted documents.

[0038] When the user wishes to play back a given

document using his reader 4, he inserts his chip card 6 into the latter along with the recording medium 7 containing the encrypted document to play back. This document may also have been loaded previously into the memory 12 through the above-mentioned transmission means, or may reside in an external memory that may be plugged into the reader 4.

[0039] The processor 11 reads the identification code of the document to be played back and sends it to the chip card 6, which searches the secret key list 19 to find whether one of these keys is associated with the read and transmitted document identification code. If such is the case, the chip card 6 requests its public key from the reader, decrypts the secret key of the document to be played back using its private key, and encrypts this secret key by the public key provided by the reader 4. Thereafter, it transmits the encrypted secret key to processor 11, which decrypts the secret key, and then decrypts the document by means of the received and decrypted secret key and sends the decrypted information contained within the document to the decoding means 13 for playback with the appropriate playback means.

[0040] The chip card 6 executes a procedure for authenticating the public key received from the reader 4 which has previously been certified by a certification authority. This identification procedure generally includes checking whether the signature that has previously been associated to the reader's public key corresponds to that of the certification authority. This signature is determined by a public key of the certification authority stored in the chip card 6.

[0041] According to the present invention, when one or several licenses are purchased, the license server 2 also transmits a revocation list to the user containing certified identification codes or public keys of unauthorized document readers, which are considered to

be pirate readers, or more generally, information allowing such readers to be identified. This information is stored within a reader data base 8 connected to the license server 2. This list is stored within a memory area 20 of the chip card at the moment it is received.

[0042]       Thereafter, when the chip card 6 is inserted into the document reader 4, the processor 11 performs a reader identification process in which the reader transmits its identification code to the chip card, and the latter checks whether this identification code is on the list 20 of identification codes of unauthorized readers. If the reader 4 is not listed in this list 20, the chip card 6 enables the decryption of the document by requesting the identification code of the document to be played back from the document reader 4 and by transmitting, in response, the decryption key corresponding to this document.

[0043]       In the opposite case, the chip card 6, by updating a flag value, memorizes the fact that it has been communicating with an unauthorized reader 4, so that later on, any further document playback by this chip card is prohibited. However, decrypting the document to be played back may still be allowed so as not to arouse the user's attention, and when the user connects again to the license server 2 for purchasing new licenses and thus receiving the corresponding decryption keys, the flag value is transmitted to the license server 2 along with the identification code of the chip card 6. The license server 2 may then identify the chip cards which are used in a fraudulent manner, and eventually refuse selling more licenses to the users of such chip cards, and may also deactivate the latter.

[0044]       However, this approach is restricted by the memory capacity of the chip card 6. More specifically, the list 20 of unauthorized reader identification codes

may become too long to be stored within the chip card. Furthermore, this approach does not allow fraudulent readers to be detected in a straightforward manner. To solve this problem, the list 20 of unauthorized reader codes stored in the chip card 6 is advantageously restricted to the most recent readers detected as fraudulent. Preferably, the chip card 6 also stores within its memory, upon each document playback, the authentication code of the document reader 4 used.

[0045] Advantageously, the chip card 6 manages a list of identification codes for the last readers used, as described below. Each time the chip card 6 is used in a reader 4, the reader identification code transmitted to the card is compared with identification codes of the last readers used, and is stored into a memory area having a predefined size in the chip card. If the code is not found therein, it is inserted into this memory area, which is advantageously of the FIFO type (First-In, First-Out). That is, an identification code is inserted in this memory area by overwriting the code stored first, if the memory is full.

[0046] Each time the chip card 6 is used for purchasing new document playback licenses, the contents of this memory area is transmitted to the license server 2 along with the chip card identification code, which may then store within the data base 8 the identification codes of the readers used, and count, for each reader identification code, the number of different chip cards that have been inserted into the reader identified by this code.

[0047] If this number exceeds a certain predefined threshold, for example 100, for a given reader identification code, this code is then marked as being that of a fraudulent reader and is inserted into an unauthorized reader revocation list managed by the license server 2. When licenses are purchased by a chip card 6, the license server 2 checks whether an

unauthorized reader is listed in the list of codes of the last readers used, which is stored within the chip and transmitted to the server. If this is the case, it may, as mentioned previously, deny the requested licenses and/or insert a flag into the memory of the chip card 6 for prohibiting any new use of the card for document decryption. It may also instruct a card deactivation, or else, inform the chip card 6 of identification codes of unauthorized readers found in the transmitted list, so as to prevent the chip card from being used later on with an unauthorized reader, listed in the memory area of the last used readers.

**[0048]** It should be noted that if the number of identification codes stored in the list of the last readers used is sufficiently large, the license server 2 no longer needs to download a revoked reader list 20 into the chip card 6.